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PATENT  
(5681-06200/P6350)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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APR 3 0 2002

PATENT

Application No.: 10/045,682

Filed: October 29, 2001

Inventor(s):

Robert Byrne

Pransanta Behara

Title: Macro-Based Access Control

§ Examiner: Unknown  
§ Group/Art Unit: 2154  
§ Atty. Dkt. No: 5681-06200

§  
§ I hereby certify that this correspondence is being deposited with  
§ the United States Postal Service with sufficient postage as first  
§ class mail in an envelope addressed to Commissioner for  
§ Patents, Office of Initial Patent Examination, Customer Service  
§ Center, Washington, DC 20231, on the date indicated below.

§ Robert C. Kowert

§ Name of Registered Representative

*DKK*

§ April 23, 2002  
§ Signature Date

RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application, mailed March 12, 2002, there is enclosed herewith:

- An Assignment of the invention to Sun Microsystems, Inc.
- An Assignment of the invention to Netscape Communications Corp.
- Combined Declaration and Power of Attorney forms executed by each inventor

- A Fee Authorization Form for \$1,342.00 to cover:
  - filing fee for large entity (\$740.00)
  - surcharge for late filing of oath or declaration for large entity (\$130.00)
  - assignment fee (\$40.00)
  - extra independent claims (\$00)
  - extra dependent claims (\$432.00)
- A copy of Notice to File Missing Parts of Application Filing Date Granted
- A return postcard to acknowledge receipt of these materials. Please stamp and return this postcard to the undersigned.

If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5681-06200/RCK.

Respectfully submitted,



Robert C. Kowert  
Reg. No. 39,255  
Attorney for Applicants

Conley, Rose & Tayon, P.C.  
P.O. Box 398  
Austin, Texas 78767-0398  
Ph: (512) 476-1400

Date: April 23, 2002



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ORIGINALLY FILED

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§ Center, Washington, DC 20231, on the date indicated below.

Robert C. Kowert

Name of Registered Representative

April 23, 2002

Signature

Date

FEE AUTHORIZATION

Commissioner for Patents  
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fee to Conley,  
Rose & Tayon, P.C. Deposit Account Number 501505/5681-06200/RCK:

Fee: Filing Fee Amount: \$740.00  
Fee: Miss. Parts Fee Amount: \$130.00  
Fee: Excess Claims Amount: \$432.00

Attorney Docket No.: 5681-06200  
Attorney Docket No.: 5681-06200  
Attorney Docket No.: 5681-06200

The Commissioner is also authorized to charge any extension fee or other fees  
which may be necessary to the same account number. If the abovementioned account is  
found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose  
& Tayon, P.C. Deposit Account Number 501623/5681-06200/RCK.

Respectfully submitted,

Robert C. Kowert  
Reg. No. 39,255

Conley, Rose & Tayon, P.C.  
P.O. Box 398  
Austin, TX 78767-0398  
(512) 476-1400  
Date: April 23, 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/045,682	10/29/2001	Robert Byrne	5681-06200

Robert C. Kowert  
Conley, Rose, & Tayon, P.C.  
P.O. Box 398  
Austin, TX 78767



CONFIRMATION NO. 2426  
FORMALITIES LETTER



\*OC000000007621244\*

Date Mailed: 03/12/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$432.
  - \$432 for 24 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1302.

*A copy of this notice MUST be returned with the reply.*

*J. Hernandez*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/01/2002	KZENDIE	00000028	501623	10045682
				CH CH CH
				740.00
				130.00
				432.00
				MAR 18 2002
				01 FC:101
				02 FC:105
				03 FC:103

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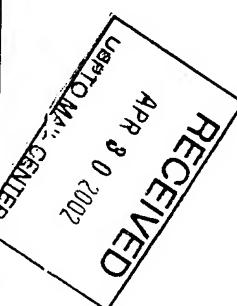
CONLEY, ROSE & TAYON

A PROFESSIONAL CORPORATION

THE CHASE BUILDING

700 LAVACA, SUITE 800

AUSTIN, TEXAS 78701-3102



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